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TATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/053,446

01/17/2002

Janis Virbulis

VIRBULIS ET AL -1

**CONFIRMATION NO. 3544 FORMALITIES LETTER** OC000000007474697

COLLARD & ROE, P.C. 1077 Northern Boulevard Roslyn, NY 11576-1696

Date Mailed: 02/14/2002

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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#### PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

VIRBULIS ET AL - 1

SERIAL NO.:

10/053,446

GROUP: 1765

FILED:

January 17, 2002

FOR:

PROCESS AND APPARATUS FOR PRODUCING

A SILICON SINGLE CRYSTAL

RESPONSE TO NOTICE TO FILE MISSING PARTS OF

NONPROVISIONAL APPLICATION

- FILING DATE GRANTED

OX MISSING PARTS

Sistant Commissioner of Patents

Shington, D.C. 20231

In response to the enclosed "Notice to File Missing Parts of Application - Filing Date Granted", dated February 14, 2002, applicant herewith encloses a "Substitute Specification" having an executed declaration attached thereto. This Substitute Specification is identical with the Specification originally filed. However Figure 4 has been amended; and no new matter is being introduced. A check in the amount of \$130.00 is enclosed for the late filing of the Declaration.

Also attached hereto is an executed ASSIGNMENT, along with the required cover sheet, with authorization to charge the \$40.00 to the undersigned attorneys' deposit account.

Any fee deficiencies may be charged to deposit account 03-2468.

Respectfully submitted,

VIRBULIS ET AL - 1

Allison C. Collard, Reg.No.22,532 Edward R. Freedman, Reg.No.26,048

Attorneys for Applicant

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#### Enclosures:

- (1) Form PTO-1533 (Notice);
- (2) Substitute Specification with executed Declaration;
- (3) Assignment w/Cover Sheet;
- (4) Check for \$130.00 for late filing of Declaration.

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on March 15, 2002.

Lisa L. Vulpis